



2164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

Application Serial No. 09/610,160
Filing Date 7/5/2000
Inventorship Horn et al.
Applicant Marketocracy
Attorney's Docket No. MK1-003US
Title: System and Method for Determining and Tracking Performance Metrics for Individual Investors

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: The Commissioner of Patents & Trademarks
Washington, D.C. 20231

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 29150



The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Return Post Card
3. Information Disclosure Statement w/Form PTO-1449 and reference copies (7)

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Technology Center 2100

Large Entity Status []

Small Entity Status [X]

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 10-16-2001

By: Steven R. Sponseller
Steven R. Sponseller
Reg. No. 39,384

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) _____

Date: 10/16/2001

By: Cheryl K. Boies
Cheryl K. Boies